

REVISED PROPOSED RULE CHANGES

CHAPTER 20. RACING OFFICIALS AND RACING PERSONNEL

325:20-1-3. Racing Officials

The Racing Officials of a race meeting, unless otherwise ordered by the Commission, are as follows: the Stewards, the Safety Steward, the Associate Judge, the Placing Judges (if utilized), the Paddock Judge, the Outriders ~~the Patrol Judges (if utilized)~~, the Starter, the Clerk of Scales, the Official Veterinarian, the Racing Veterinarian, the Timers, the Horse Identifier, the Racing Secretary and the Assistant Racing Secretary. No Racing Official may serve in that capacity during any race meeting at which is entered a horse owned by him/her or by a member of his/her family or in which s/he has any financial interest. Being the Lessee or Lessor of a horse shall be construed as having a financial interest. No person may serve as a Steward in a race where that person is the Owner or part Owner of the sire or dam of any horse in the race.

325:20-1-6. Racing Officials Appointed by the Commission

(a) The Commission shall appoint the following Racing Officials for a race meeting [3A:203.4]:

- (1) Board of three (3) Stewards;
- (2) Safety Steward
- (3) Official Veterinarian; and
- (4) Horse Identifier.

(b) To qualify for appointment as a Steward, the appointee shall be an accredited Racing Official or Steward by the Stewards/Judges Accreditation Program administered by the University of Arizona and the University of Louisville, meet the experience and continuing education requirements of the program and be in good standing with all racing jurisdictions. Individuals who have participated and been accredited under the former University of Arizona or University of Louisville programs shall be regarded as qualified for appointment as Stewards.

325:20-1-24. Duties of the Horse Identifier

~~The Horse Identifier shall identify all horses starting in a race. The Horse Identifier shall inspect documents of Ownership, eligibility, registration, or breeding as may be necessary to ensure proper identification of each horse eligible to compete at a race meeting and provide assistance to the Stewards in that regard. The Horse Identifier shall immediately report to the Paddock Judge and the Stewards any horse which is not properly identified or any irregularities reflected in the official identification records. The Horse Identifier shall check track facilities to ensure that they comply with Commission requirements. The Horse Identifier shall report to the Stewards and to the Commission on general racing practices observed, and perform such other duties as the Commission may require.~~

The Horse Identifier shall:

- (a) when required, ensure the safekeeping of digital and paper registration certificates

- and racing permits for horses stabled and/or racing on association grounds;
- (b) inspect documents of ownership, eligibility, registration or breeding necessary to ensure the proper identification of each horse scheduled to compete at a race meeting;
 - (c) examine without physically touching unless wearing a disposable, sterile glove, for every starter in the paddock for sex, color, markings and lip tattoo, microchip (ISO 11784), freeze brand or other identification method approved by the appropriate breed registry and the Commission for comparison with its registration certificate to verify the horse's identity; and
 - (d) supervise when requested by the Commission, monitor the tattooing, microchipping, freeze branding or other method of identification approved by the appropriate breed registry and the Commission done to and for identification of any horse located on association grounds.
 - (e) report to the stewards any horse not properly identified or whose registration certificate is not in conformity with these rules.
 - (f) perform such other duties as the Commission may require.

325:20-1-25. Duties of the Safety Steward

Safety Stewards, when not serving in the stewards stand, shall perform such duties as may be directed by the Executive Director or Board of Stewards at the racetrack where the Safety Steward is being utilized. Duties may include, but not limited to, the following:

- (a) Gather information associated with administration or testing of substances administered to horses intended for racing, and report any concerns with compliance to the Stewards, the Executive Director, and/or the Director of Law Enforcement. (TRAO and OQHRA both sent comment on this rule)
- (b) Monitor and observe daily habits and practices of backside activities.
- (c) Monitor compliance for race day permitted substance regulations and prohibited practices.
- (d) Observe permitted substance administration.
- (e) Investigate possible inappropriate or illegal use of substances, such as permitted and prohibited substances and practices that may affect racing performance.
- (f) Investigate fatalities and accidents at racetracks.
- (g) Conduct pre-meet racetrack safety inspections independently or with OHRC law enforcement personnel.
- (h) Participate in resolution of financial complaints.
- (i) Make recommendations to OHRC to ensure the integrity of racing and compliance with horse racing statutes and rules.
- (j) Provide liaison between the stewards and OHRC law enforcement staff.

325:20-1-26. Duties of the Outrider

- (a) Shall make every effort to maintain the safety and orderly conduct of training and racing according to the rules, regulations and directives of the Commission, stewards and association management.
- (b) Shall report all unauthorized activities, unusual occurrences or potential rule violations to the stewards, safety steward and/or starter.
- (c) Assist the stewards, safety steward, and/or starter with any on-track issue that

endangers the safety of the participants and horses.

(d) Shall oversee jockeys, exercise riders, pony persons and trainers when they are on the track.

(e) Shall enforce the track and commission rules concerning approved safety vests and protective helmets while riders are on the racetrack.